

# Bulk-boundary correspondence for vacuum asymptotically Anti-de Sitter spacetimes

Arick Shao

Queen Mary University of London

## Section 1

# Background

# Correspondence and Holography

**AdS/CFT correspondence:** spacetime gravitation  $\Leftrightarrow$  boundary field theory

- **AdS:** anti-de Sitter.
- **CFT:** conformal field theory.
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**Informal question.** One-to-one correspondence between...

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# AdS Spacetime

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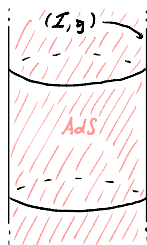
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**Anti-de Sitter (AdS)** spacetime:

$$(\mathbb{R}_t \times \mathbb{R}_x^n, \mathbf{g}_0), \quad \mathbf{g}_0 := (1 + r^2)^{-1} dr^2 - (1 + r^2) dt^2 + r^2 \dot{\gamma}.$$

- Solution of EVE.
- $\Lambda < 0$  analogue of **Minkowski spacetime**.

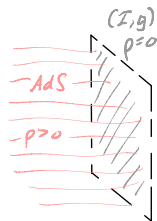
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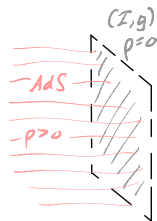
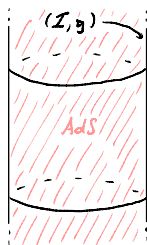
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AdS in **Fefferman–Graham (FG)** gauge:

- Set  $\rho := r^{-1} + O(r^{-2})$ :

$$\rho^2 g_0 = d\rho^2 + (-dt^2 + \dot{\gamma}) - \frac{\rho^2}{2}(dt^2 + \dot{\gamma}) + \frac{\rho^4}{16}(-dt^2 + \dot{\gamma}).$$

- Same conformal boundary  $(\mathcal{I}, g)$  at  $\rho = 0$ .

# aAdS Spacetimes

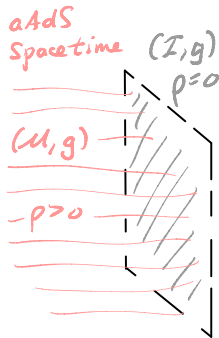
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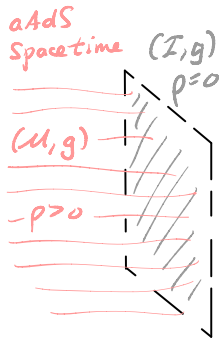


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aAdS metric  $g$ , in Fefferman–Graham (FG) gauge.

$$g := \rho^{-2}(d\rho^2 + g_{ab}(\rho) dx^a dx^b).$$

- $g(\rho) \rightarrow g$  (Lorentzian) as  $\rho \searrow 0$ .
- $(\mathcal{I}, g)$ : conformal boundary.

# Vacuum Spacetimes

Q. What if  $(\mathcal{M}, g)$  also satisfies EVE?

- (Fefferman–Graham, 1984, 2007) Formal series expansion from  $\rho = 0$ .
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Theorem (S., 2020)

*Non-analytic* (but regular)  $g \Rightarrow$  *partial FG expansion* near  $\mathcal{I}$ :

$$g = \begin{cases} \sum_{k=0}^{\frac{n-1}{2}} \rho^{2k} g^{(2k)} + \rho^n g^{(n)} + o(\rho^n) & n \text{ odd,} \\ \sum_{k=0}^{\frac{n-2}{2}} \rho^{2k} g^{(2k)} + \rho^n (\log \rho) g^{(*)} + \rho^n g^{(n)} + o(\rho^n) & n \text{ even.} \end{cases}$$

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$(g^{(0)}, g^{(n)})$ : Dirichlet, Neumann boundary data for EVE.

# Gauge Invariance

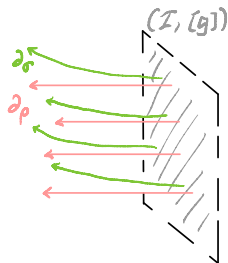
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**Q.** How do gauge transforms affect  $g^{(k)}$ 's?

- $g^{(0)} \mapsto h^{(0)} = \omega^2 g^{(0)}$ .
- More complicated formulas for  $g^{(k)} \mapsto h^{(k)}$ ,  $k > 0$ .
- $(g^{(0)}, g^{(n)})$ ,  $(h^{(0)}, h^{(n)})$  describe same physical setting!

# The Correspondence Problem

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*Given two aAdS solutions  $g_1, g_2$  of EVE:*

- *Assume  $(g_1^{(0)}, g_1^{(n)})$ ,  $(g_2^{(0)}, g_2^{(n)})$  gauge-equivalent.*
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Yes, for **time-independent** cases:

- (Biquard, 2008; Anderson–Herzlich, 2010) Riemannian analogue.
- (Chruściel–Delay, 2011) Stationary Lorentzian  $(\mathcal{M}, g)$ .

# The Main Result

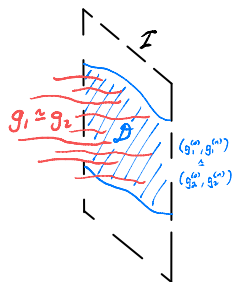
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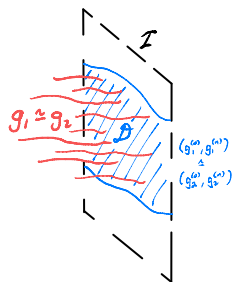
- $(\mathcal{M}, g_1)$ ,  $(\mathcal{M}, g_2)$  satisfy EVE.
- $(g_1^{(0)}, g_1^{(n)}) \simeq (g_2^{(0)}, g_2^{(n)})$  on “sufficiently large”  $\mathcal{D} \subseteq \mathcal{I}$ .
- $g_1^{(0)}$  satisfies “generalized null convexity criterion” on  $\mathcal{D}$ .

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**Q.** What is this **generalized null convexity criterion (GNCC)**?

## Section 2

## Unique Continuation

# The Model Problem

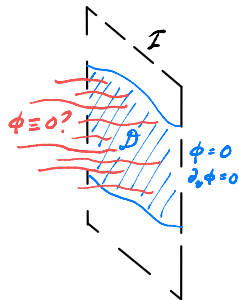
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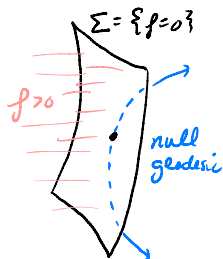
- $\phi$  is a solution of

$$(\square_g + \mu)\phi = \text{l.o.t.}(\phi). \quad (\mu \in \mathbb{R}, p > 0).$$

- $\phi$  has zero **Dirichlet** and **Neumann data** on  $\mathcal{D} \subseteq \mathcal{I}$ .

Then, does  $\phi \equiv 0$  in the interior, near  $\mathcal{D}$ ?

## Classical UC Theory

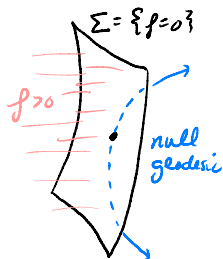


**Definition.**  $\Sigma = \{f = 0\}$  pseudoconvex  $\Leftrightarrow$

$$\nabla^2 f(X, X) < 0 \text{ on } \Sigma, \text{ if } g(X, X) = \nabla_X f = 0.$$

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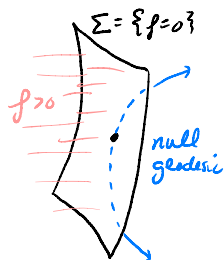
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**Main tool:** local Carleman estimates near  $\mathcal{D}$ .

- Weighted spacetime  $L^2$ -estimate:

$$\lambda \|e^{-\lambda f} \nabla \phi\|_{L^2}^2 + \lambda^3 \|e^{-\lambda f} \phi\|_{L^2}^2 \lesssim \|e^{-\lambda f} \square \phi\|_{L^2}^2 + \text{boundary}.$$

- $\lambda \gg 1$ : free parameter.

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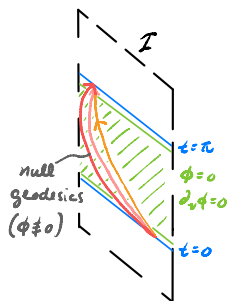
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## 2. With respect to $\rho^2 g$ , Klein–Gordon equation becomes

$$(\square_{\rho^2 g} + C_\mu \rho^{-2})\psi = \text{l.o.t.}(\psi).$$

- $C_\mu \rho^{-2} \psi$  (or  $\mu \phi$ ) scales as principal (not perturbative) term.
- Dirichlet, Neumann branches of  $\phi$  behave like  $\rho^{\beta_d(\mu)} \phi$ ,  $\rho^{\beta_n(\mu)} \phi$ .
- Singular  $\rho$ -weighted terms in Carleman estimates.

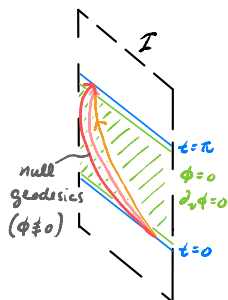
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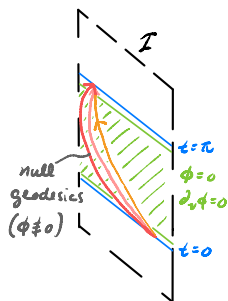
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**Near-boundary trapping**—g-null geodesics:

- Starting from  $\mathcal{I}$ , at  $t = 0$ .
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**Theorem.** (Guisset–S., 2023)

- Counterexamples to UC from

$$\mathcal{D} = \{t_- < t < t_+\}, \quad t_+ - t_- < \pi.$$

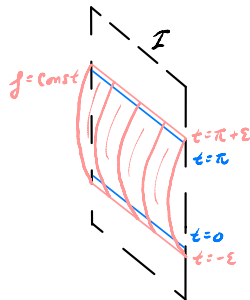
- Based on geometric optics (Alinhac–Baouendi).

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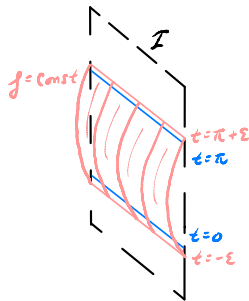


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**Observation.** Pseudoconvex foliation near  $\mathcal{D}$ :

$$f := \frac{\rho}{\eta(t)}, \quad \eta(t) = \sin\left(\pi \cdot \frac{t-t_-}{t_+-t_-}\right).$$

- Can prove Carleman estimate with weight  $f$ .

# aAdS Spacetimes

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# aAdS Spacetimes

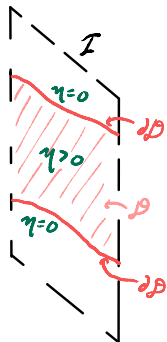
**Q.** Do ideas from AdS extend to aAdS spacetimes  $(\mathcal{M}, \mathbf{g})$ ?

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**Idea.** Trajectories determined by geometry of  $(\mathcal{I}, \mathbf{g})$ :

- **Need.** Positivity of  $-\mathbf{g}^{(2)}(X, X) = \mathfrak{Ric}_{\mathbf{g}}(X, X) \dots$
- ... for all  $\mathbf{g}$ -null  $X \dots$
- ... over sufficiently large portion of  $\mathcal{D}$ .

## The Generalized Null Convexity Criterion

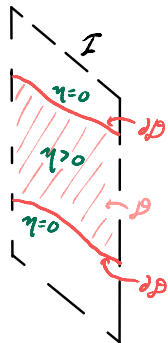


## Definition (Chatzikaleas–S., 2022)

$g$  satisfies GNCC on  $\mathcal{D} \subseteq \mathcal{I} \Leftrightarrow \exists \eta \in C^4(\bar{\mathcal{D}})$  with:

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- $\eta = 0$  on  $\partial\mathcal{D}$ .
- $(\mathcal{D}^2\eta + \eta \cdot \text{Ric}_g)(X, X) > 0$  for all  $g$ -null  $X$  on  $\bar{\mathcal{D}}$ .

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**Proposition.** GNCC is gauge-invariant:

- $g$  satisfies GNCC on  $\mathcal{D} \Leftrightarrow \omega^2 g$  satisfies GNCC on  $\mathcal{D}$ .

# Some Examples

## Example. (AdS spacetime)

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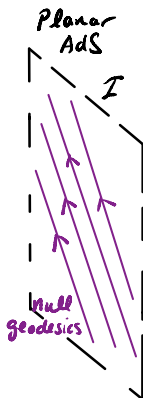
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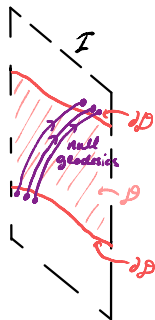
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**Example.** (Planar AdS, toroidal AdS)

- $S^{n-1} \rightarrow \mathbb{R}^{n-1}, \mathbb{T}^{n-1}$ .
- GNCC fails to hold on any  $\mathcal{D} \subseteq \mathcal{I}$ .



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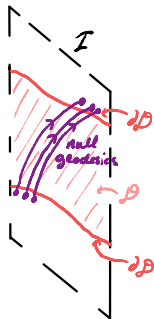


## Theorem (Guisset–S., 2023)

Assume setting in figure. Then:

- There exists potential  $V$ , and...
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**Remark.** Cannot choose  $V$  in the theorem.

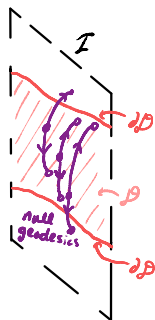
- **Holmgren's theorem**  $\Rightarrow$  no counterexamples when  $g, V$  analytic.
- **Q.** Can  $V$  be real-valued?

# aAdS Spacetimes: Geodesic Return

GNCC rules out “bad” null geodesics leading to counterexamples.

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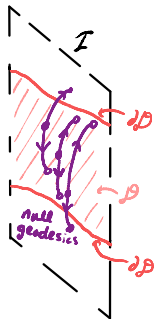
### Theorem (Chatzikaleas, McGill, S.)

If  $g$  satisfies GNCC on  $\mathcal{D}$ , then...

- ... any  $g$ -null geodesic that passes over  $\mathcal{D}$ ...
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**Idea.**  $(\mathcal{D}^2\eta + \eta \cdot \text{Ric}_g)(X, X) = 0$ .

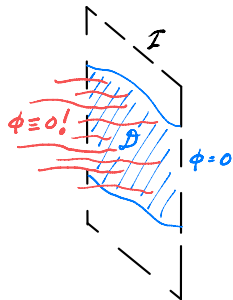
- $\eta$ : leading-order of  $\rho$ -value for near-boundary geodesics.
- Harmonic oscillator for  $\eta$  + nonlinear l.o.t.

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Moreover, GNCC suffices for unique continuation.

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Assume aAdS spacetime  $(\mathcal{M}, g)$  satisfies EVE, and:

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- Let  $\phi$  be solution of

$$|(\square_g + \mu)\phi| \lesssim \rho^{2+p}|\nabla\phi| + \rho^p|\phi|, \quad (\mu \in \mathbb{R}, p > 0).$$

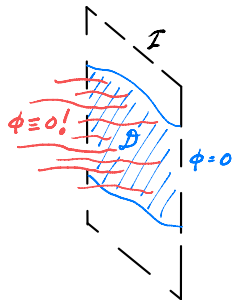
- Suppose  $\phi$  satisfies **vanishing condition** as  $\rho \searrow 0$ :

$$\rho^{-C(\mu, g)}(|\phi| + |\nabla\phi|) \rightarrow 0 \quad \text{over } \mathcal{D}.$$

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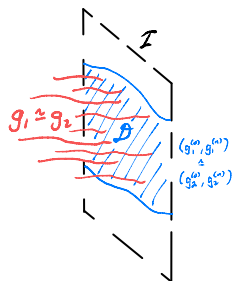
Obtain **Carleman estimate** near  $\mathcal{D}$  as key step.

- Pseudoconvex weight  $f := \rho\eta^{-1}$ .

## Section 3

# Proof of Correspondence

## Main Result, Revisited



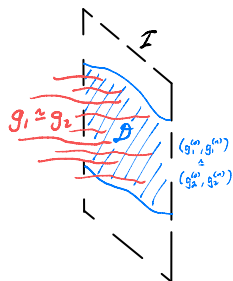
## Theorem (Correspondence; Holzegel–S.)

Fix aAdS spacetimes  $(\mathcal{M}, g_i)$  ( $i = 1, 2$ ), and suppose:

- $(\mathcal{M}, g_i)$  satisfies *EVE*.
- $(g_1^{(0)}, g_1^{(n)}) \simeq (g_2^{(0)}, g_2^{(n)})$  on  $\mathcal{D} \subseteq \mathcal{I}$ .
- $g_1^{(0)}$  satisfies *GNCC* on  $\mathcal{D}$ .

Then,  $g_1$  and  $g_2$  are isometric in a neighbourhood near  $\mathcal{D}$ .

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Apply gauge transform:

- **Assume.**  $(g_1^{(0)}, g_1^{(n)}) = (g_2^{(0)}, g_2^{(n)})$  on  $\mathcal{D}$ .
- **Goal.** Show  $g_2 - g_1 = 0$ .

# The Wave-Transport System

**Need.** Closed system for  $g_2 - g_1$ .

- Decompose  $g_j$ ,  $\mathbf{W}_j$  into  $\rho$ -parametrized fields on  $\mathcal{I}$ :

$$g_j \mapsto g_j(\rho), \quad \mathbf{W}_j \mapsto (w_j^0(\rho), w_j^1(\rho), w_j^2(\rho)).$$

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Obtain coupled system of transport and wave equations

$$\mathcal{L}_\rho(g_2 - g_1) := (m_2 - m_1),$$

$$\mathcal{L}_\rho(m_2 - m_1) \sim (w_2 - w_1) + \text{good},$$

$$[\square_{g_1} + j(n-j)](\tilde{w}_2^j - \tilde{w}_1^j) \sim \text{good} + D^2(g_2 - g_1).$$

- Goal.** Apply Carleman estimates to system.
- $D^2(g_2 - g_1) \Rightarrow$  cannot close Carleman estimates.

# The Renormalized System

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- Inspired by black hole rigidity ([Ionescu–Klainerman, 2013](#)).

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- But  $D \operatorname{curl}(g_2 - g_1)$  does (Codazzi equations):

$$\mathcal{L}_\rho D \operatorname{curl}(g_2 - g_1) \sim D \operatorname{curl}(m_2 - m_1) \sim D(w_2 - w_1) + \text{i.o.t.}$$

- One bad term in **i.o.t.** to renormalize (to avoid  $D^2(g_2 - g_1)$ ):

$$B := \operatorname{curl}(g_2 - g_1) - DQ, \quad \mathcal{L}_\rho Q \sim m \cdot (g_2 - g_1).$$

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2.  $D^2(g_2 - g_1)$  in wave equation is not that bad:

$$[\square_{g_1} + j(n-j)](\tilde{w}_2^j - \tilde{w}_1^j) \sim \text{good} + w \cdot DB + \square_{g_1}(\dots).$$

- DB** satisfies good transport equation ( $\sim D(w_2 - w_1)$ ).
- $\square_{g_1}(\dots)$  can be moved into left-hand side.

# Completing the Proof

**Need.** Sufficient vanishing for  $(g_2 - g_1, w_2^j - w_1^j)$  as  $\rho \searrow 0$ .

- Apply Carleman estimate for  $\square_g + \mu$  to wave part.
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**Idea.** Use EVE to derive more vanishing.

- **Transport equations** for  $g_2 - g_1$ .
- **Bianchi equations** for  $w_2^j - w_1^j$ .
- $\Rightarrow$  **Arbitrarily high order vanishing** for  $(g_2 - g_1, w_2^j - w_1^j)$  as  $\rho \searrow 0$ .

## Section 4

## Conclusions

# Predecessors and Corollaries

**Assume.** aAdS spacetime  $(\mathcal{M}, \mathbf{g})$  satisfies EVE, and...

- $\mathfrak{g}^{(0)}$  satisfies **GNCC** on  $\mathcal{D} \subseteq \mathcal{I}$ .

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- 2 If  $\exists$  diffeomorphism  $\phi : \mathcal{D} \rightarrow \phi(\mathcal{D}) \subseteq \mathcal{I}$  with

$$(\phi^* \mathbf{g}^{(0)}, \phi^* \mathbf{g}^{(n)}) \simeq (\mathbf{g}^{(0)}, \mathbf{g}^{(n)}).$$

then  $\phi$  extends to spacetime isometry  $\Phi$  near  $\mathcal{D}$ .

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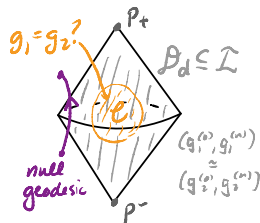
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## Beyond GNCC:

- Causal diamonds  $\mathcal{D}_d \subseteq \mathcal{I}$ ,  

$$\mathcal{D}_d := \mathcal{I}^+(p_-) \cap \mathcal{I}^-(p_+),$$
 fail to satisfy GNCC.
- **Conjecture.**  $(g_1^{(0)}, g_1^{(n)}) \simeq (g_2^{(0)}, g_2^{(n)})$  on  $\mathcal{D}_d \dots$
- $\dots \Rightarrow g_2 = g_1$  near center  $\mathcal{C}$  of  $\mathcal{D}_d$ ?



# Thank You

Thank you for your attention!

*arXiv:2307.09107* (MATRIX Annals)